



IWT Financial Flows Toolkit

Monitoring & Evaluation



SOCnet
Serious & Organised
Crime Network



TRAFFIC



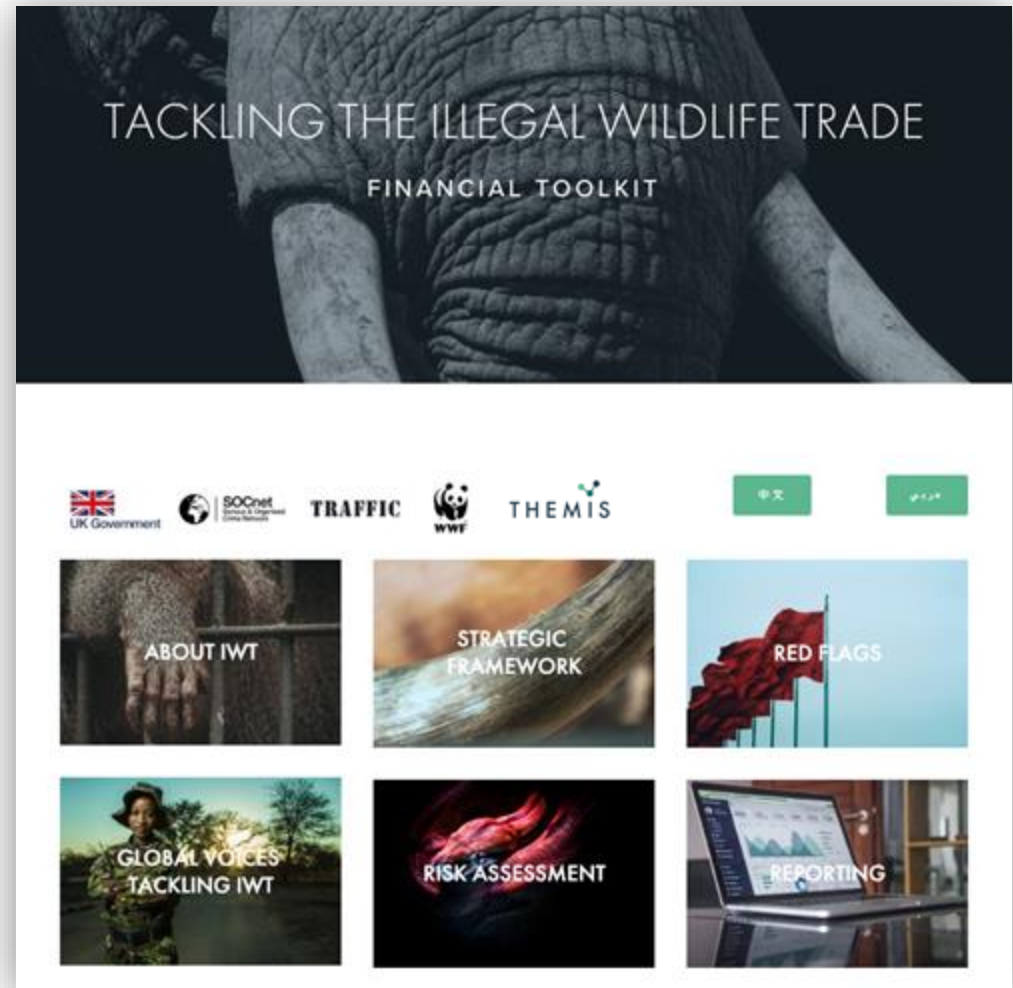
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Project Objective

- The Toolkit has been developed by UK Serious and Organised Crime Network in collaboration with Themis, WWF and TRAFFIC.
- The Toolkit aims to raise awareness and support financial institutions in:
 - Addressing and reporting suspicious transactions relating to IWT;
 - Understanding the convergence of IWT with serious and organised crime and the role of corruption and trade-based money laundering as key enablers of the IWT.
- It focuses on the trade between Africa, which is home to most of the trade species, and consumer markets in Asia.
- The Toolkit is free for all to use.

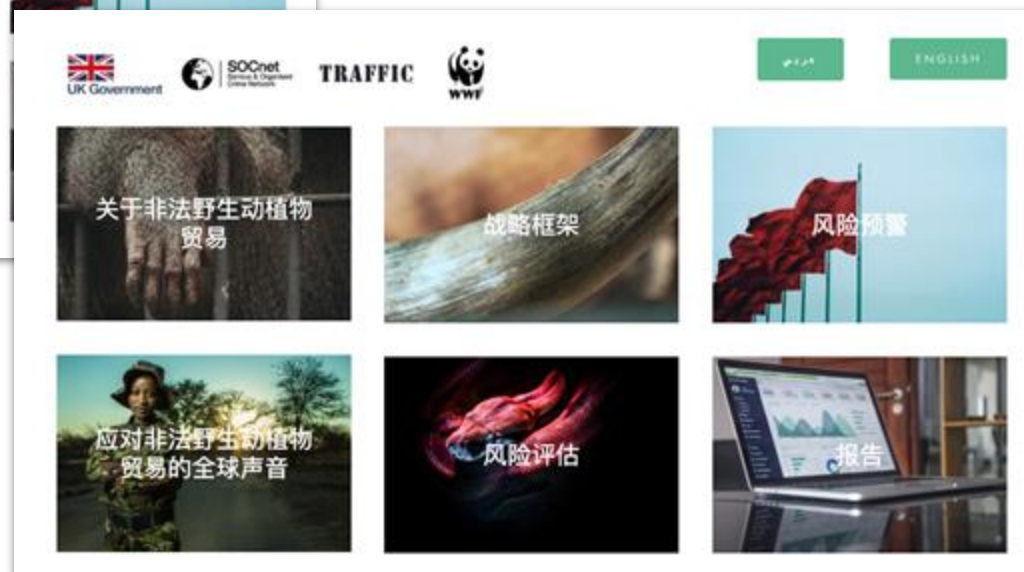
www.crime.financial/iwt



[View promo video](#)

Toolkit Translation

- A unique feature of the Toolkit has been its translation from English into Arabic and Mandarin.
- The Arabic Toolkit translation was a key collaborative effort with the UAE government.
- The Mandarin Toolkit translation was undertaken and led by TRAFFIC.
- The United for Wildlife Risk Assessment has also been translated into Mandarin and TRAFFIC is undertaking targeted training delivery to financial institutions in China.



Project Duration

The project commenced in October 2021 and has been split into four key phases:

- **Development phase** - desktop research, survey of over 700 financial services professionals, interviews with industry representatives, engagement with key stakeholders.
- **Pre-launch testing phase** - demoing of Toolkit to over 30 financial institutions.
- **Launch phase** - inauguration and amplification of Toolkit in key jurisdictions (UAE, Kenya, Singapore, Botswana).
- **Outreach phase** - amplification and rollout of the Toolkit across the financial services industry, including anticipated periodic monitoring and evaluation of uptake.

www.crime.financial/iwt

DIVERSE AND GLOBAL VOICES TACKLING
ILLEGAL WILDLIFE TRADE

UK Government | SOCnet | TRAFFIC | THEMIS

Mohamed Shalo
Executive Office for Anti-Money Laundering and Countering Financing of Terrorism, UAE

Advocate Xolisa Khanyile
Financial Intelligence Centre, South Africa

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UFW Financial Taskforce

Pol. Maj. Gen. Phitsun Pngmuang
Anti-Money Laundering Office (AMLO) Thailand

Representatives
Black Mambas Anti-Poaching Unit

Nick Ahlers
TRAFFIC

Bryan Landry
U.S. Fish & Wildlife Service Office of Law Enforcement

Agnes Magero
SAFARICOM PLC

Kawee Shinichi
WWF Japan

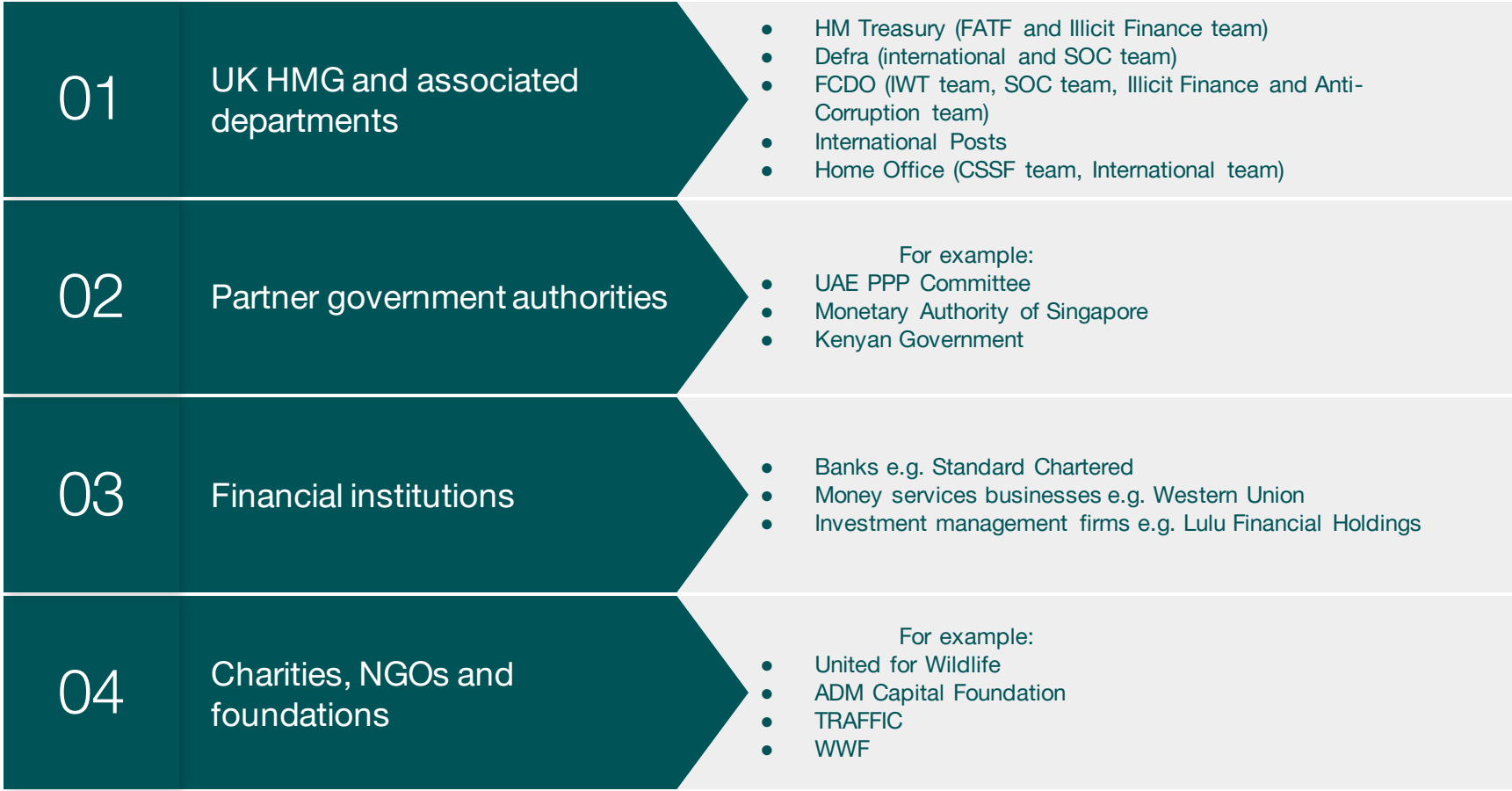
AMLO | KLIST

Stakeholder Engagement

Representatives from the following organisations were interviewed as part of this project:



Pre-Launch Demo Delivery



As of 15th April 2022, 36 Toolkit demos had been undertaken. The demos were crucial in securing vital buy in and support ahead of the global launch. They were also critical in highlighting UK collaboration with civil society, host governments and financial institutions in the development of Toolkit and the key value add the Toolkit provides to financial institutions in identifying and addressing IWT money flows.

Financial Institution Endorsements



The illegal wildlife trade financial toolkit provides the necessary framework for any financial institution that wants to become a part of the fight – the toolkit will serve as a reference not only for Santander, but for our engagement with partners across the globe on this important issue.

John "Ned" Conway
 Head of Financial Crime Compliance and Policies
 Santander

HSBC's scale and global footprint put us in a unique position where we can make a positive contribution and be at the forefront of the fight against financial crime in the economies and communities where we live and work. The development and use of this toolkit will help us, and all financial institutions, in the fight against illegal wildlife trade.

Collin Lobo
 Chief Compliance Officer and Head of Financial Crime Compliance
 HSBC, Middle East, North Africa and Turkey

Whilst there have been many global papers written on IWT over the past years by eminent bodies, none have been as comprehensive as the Toolkit, which provides a practical and very useable source of information and intelligence which will undoubtedly help with our investigations and will also help us further enhance and tune our IWT detection scenarios.

Thomas Lee
 Head of Financial Crime Compliance
 Standard Chartered MENA

Financial Institution Endorsements



The future of our planet is hinged on how organisations and communities can operate in an environmentally sound and sustainable way. At Safaricom, we are committed to protecting our wildlife and natural ecosystems and have put in place stringent measures to safeguard our products and services from being used for illegal wildlife trade. We are delighted to contribute to and support the UK led IWT Financial Flows Toolkit.

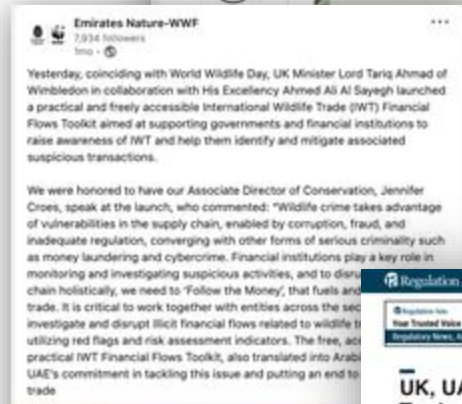
Peter Ndegwa
CEO, Safaricom Plc

The Lulu Financial Group compliance team worldwide shall use the UK led IWT Financial Flows Toolkit in every country it operates to identify risks related to illegal wildlife trade and support that country in their effort to combat financial crime. Toolkit will form part of continuous training of the front-line operations members, and as further guidance if there is any suspicion arising from customer transactions.

Christos Christou
Group Chief Compliance Officer
Lulu Financial Holdings Plc

Launch in the UAE (3rd March)

- **Pre-launch** - The UAE launch was preceded by a key presentation of the Toolkit to the UAE's AML/CFT Public-Private Partnership Committee. This marked the first time that the UK government has been invited to present to the UAE PPP Committee and resulted in a Toolkit endorsement from the Committee's Chairperson.
- **Launch** - The Toolkit was launched by the UK and UAE governments at Expo Dubai to coincide with UN Wildlife Day.
- UK Minister Lord Tariq Ahmad of Wimbledon amplified the Toolkit as part of the launch, in collaboration with His Excellency Ahmed Ali Al Sayegh.
- The event was attended by senior leaders from across the UAE's financial services industry and generated extensive press coverage.
- **Post-launch** - The Toolkit has been accessed c. 380 times in the UAE.



Launch in Kenya (3rd March)

- **Pre-launch** - SOCnet led a demo of the Toolkit with the Kenyan Cabinet Secretary's office, with support from FCDO leads and Themis. This presentation was crucial in securing support for amplification of Toolkit as part of launch and beyond.
- **Launch** - Toolkit was launched in Kenya by Lord Goldsmith, UK Minister for Pacific and the Environment, and Najib Balala, Kenya's Cabinet Secretary for Tourism.
- **Post-launch** - the launch generated extensive press coverage and support for the Toolkit, and opportunities for HMG and FCDO posts to work together to monitor progress on Toolkit take up in the region.
- NCA ILO shared the toolkit with wider international liaison network in Kenya.
- The Toolkit has been accessed c. 134 times in Kenya since the launch.



WILDLIFE DAY 2022
Kenya celebrates World Wildlife Day in style
 In Summary

- The toolkit focuses on the trade between Africa, being the home of most trade wildlife species and Asia, the world's top consumer market and the meeting point for IWT.
- It is also aimed at supporting financial institutions in addressing suspicious transactions relating to IWT and reporting them to the proper authorities.



Najib Balala and Zac Goldsmith at Nairobi National Park

Tourism and Wildlife CS Najib Balala hosted United Kingdom's minister for the Pacific Int'l Environment, Climate and Animal Welfare, Lord Zac Goldsmith at Nairobi National Park to launch the Illegal Wildlife Trade (IWT) Financial Flows toolkit.

During the Wednesday event, they lauded the UK's support for Kenya's wildlife trade in Africa and the White Paper on Kenya achieves the restoration of Kenya's wildlife.



Safaricom PLC
 222,253 followers

At Safaricom, we are committed to protecting our wildlife and natural ecosystems and have put in place stringent measures to safeguard our products and services from being used for illegal wildlife trade. We are delighted to have contributed to and support this important Illegal Wildlife Trade (IWT) Financial Flows toolkit led by the British government which aims to support financial institutions in addressing and reporting suspicious transactions relating to IWT.

Did you know:

- Illegal wildlife trade is worth \$23 billion annually and knows no borders
- WWF estimates that around 20,000 African elephants are poached every year
- TRAFFIC states at least 23.5 tonnes of pangolins and their parts were trafficked in 2021 alone.

Financial crime is at the heart of IWT. Together we can #stopiwt Watch this video to learn more <https://lnkd.in/dpaHA3j>

#aml #UKSOCnet Themis

The toolkit can be freely accessed via www.crime.financial/iwt



The Illegal Wildlife Trade Financial Flows Toolkit



Agnes Magero - Following
 Financial Crime Compliance Expert (Head of Department-AML)
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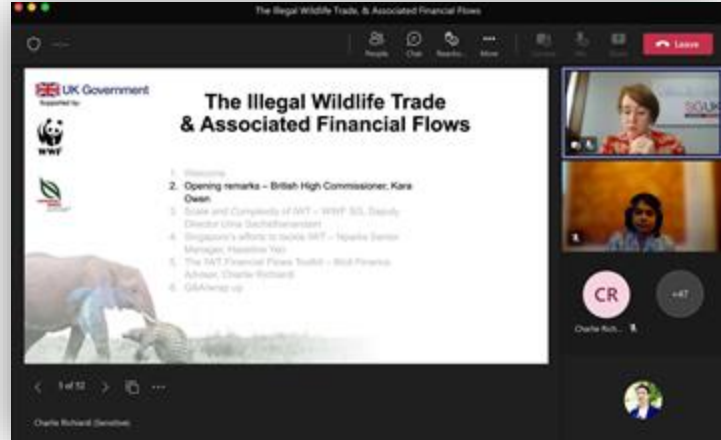
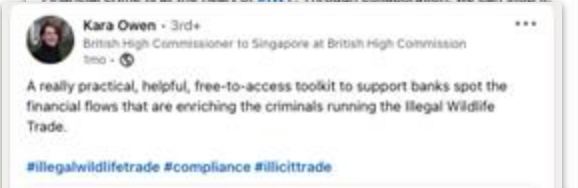
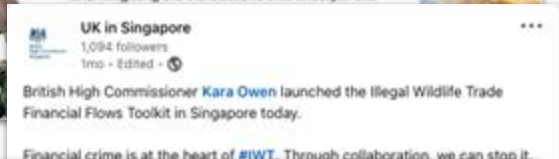
Delighted to have contributed to this report important Illegal Wildlife Trade (IWT) Financial Flows toolkit led by UK government. Toolkit freely accessed via www.crime.financial/iwt. Together we can #stopiwt. Catch promotional video here <https://lnkd.in/d3aqrwS>

#aml #wildlifetrafficking #uksocnet #financialcrime and tag TRAFFIC, Themis and FCDO



Launch in Singapore (17th March)

- The Toolkit was launched in Singapore at a virtual event organised by SOCnet, led by Charlie Richiardi, with key participation from WWF Singapore and NParks.
- The event was attended by a range of cross-sectoral stakeholders, including over 25 financial institutions.
- The Toolkit and its launch have helped facilitate further conversations with MAS, NParks, and financial institutions about IWT, helping build political influence and access for the FCDO.
- The Toolkit has been accessed over 300 times in Singapore since the launch.



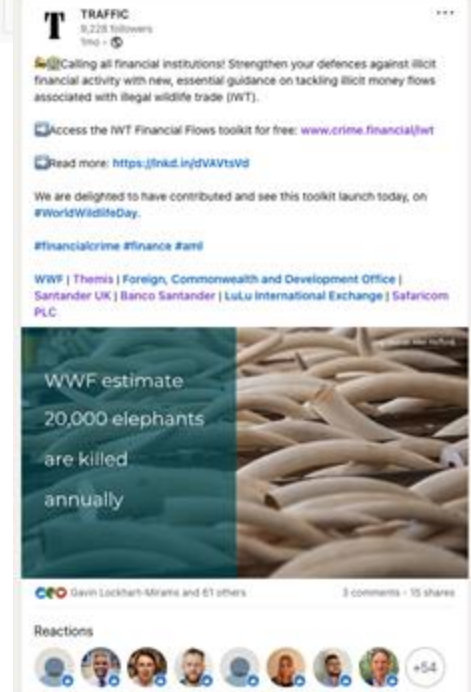
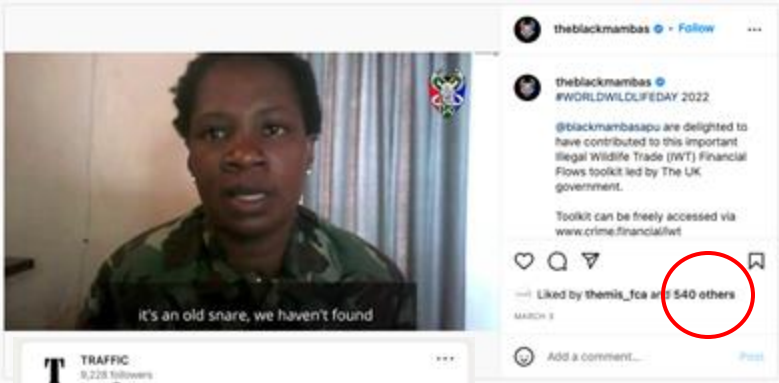
Feedback from Financial Institutions

- The Toolkit is written and designed in a way that is easy to navigate, follow and adhere to from the specific perspective of financial institutions as it fits within their existing operating models.
- The Toolkit incorporates diverse red flags and related keyword searches that are of relevance to IWT in a way that is new and unique.
- The Toolkit provides practical information from diverse voices tackling IWT across the world, reflecting a whole system response.
- The 'About IWT' panel of the Toolkit is regularly updated so brings together all the most recent news and developments related to IWT.
- The Toolkit includes a feedback function which feeds into its continuous improvement.
- The Toolkit brings disparate IWT-related information together in one place.



Global Coverage

Countries where the Toolkit has been accessed the most



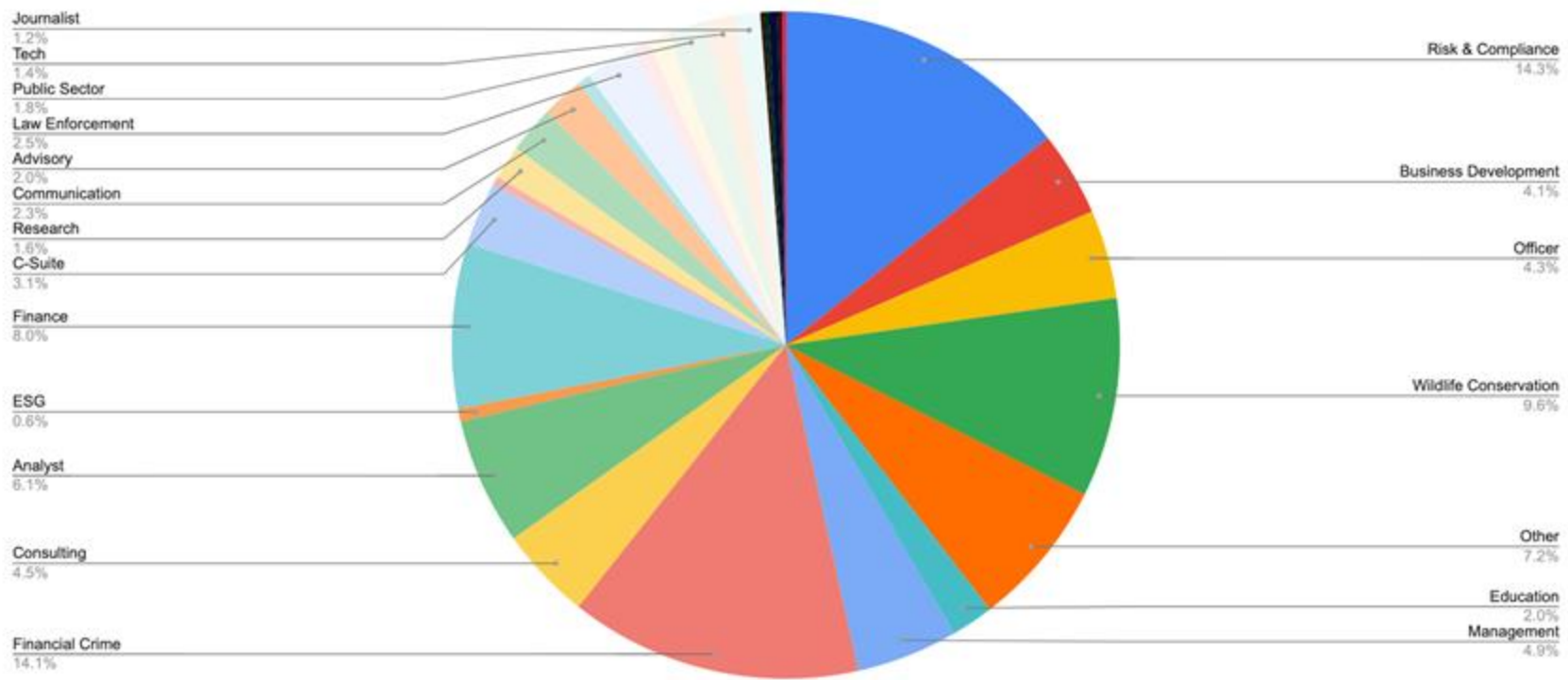
- **Total reach of the Toolkit = over 17.5m individuals globally.**
- c. 36k views of the launch video via Themis LinkedIn alone. *The number would be substantially higher if we included all video shares by partners.*
- c. 6k have accessed the Toolkit from over 100 countries around the world.
- 164 posts from individuals and companies on social media.
 - 2143 likes & c. 230 shares.
- “IWT Toolkit” Search on Google is now showing up first in ranking.
- 46 press articles published.



Toolkit Access Statistics

- Top 5 countries where the Toolkit has been accessed:
 - UK - 18.2 %
 - UAE - 10.9 %
 - Singapore - 10%
 - USA - 6.6%
 - Kenya 6.1%

Job Role Breakdown



Toolkit Access Statistics

- c.6000 people have accessed the Toolkit
- “Red Flags”, “About IWT”, and “Strategic Framework” have been the three most popular Toolkit panels in terms of access numbers.

This screenshot shows a vertical list of five red flag categories, each with a representative image and a plus sign to expand the list:

- Geographical Red Flags**: Accompanied by a world map.
- Transportation Red Flags**: Accompanied by a stack of colorful shipping containers.
- Client Profile Red Flags**: Accompanied by two people in an office setting.
- Transactional Red Flags**: Accompanied by a hand holding a smartphone.
- Trade-Based Money Laundering (TBML) Red Flags**: Accompanied by a large cargo ship.

This screenshot shows a research report titled "DISRUPTING THE PROCESSES OF THE ILLEGAL WILDLIFE TRADE: A Guide to the ARMS to Anti-Network". Below the report cover are three smaller images of wildlife (rhinoceros, elephant, and bear) with green buttons for "Read the report" and "Download the report".

This screenshot displays a 2x3 grid of seven strategic framework components, each with a representative image and a title:

1. IWT RISK ASSESSMENT & STRATEGY
2. POLICIES & PROCEDURES
3. SYSTEMS & TOOLS
4. TRAINING & AWARENESS
5. GOVERNANCE & MANAGEMENT INFORMATION
6. PERIODIC ASSESSMENT
7. ESG

A green "FEEDBACK" button is located at the bottom center of the grid.

Outreach - Post-Launch (2022)

ASIA

- Podcast recorded for Regulation Asia (April)
- TRAFFIC delivery of Toolkit training to Tencent (April)
- TRAFFIC translation of IWT Risk Assessment into Mandarin (April)
- Toolkit presentation at ACAMS APAC conference (April)
- SOCnet presentation of Toolkit to G7 LEPSEG (April)
- SOCnet with support from WWF secured agreement from Japan's National Police Agency to deliver tailored deep dive translated version of Toolkit to FIU and Economic Crime Teams on 15th June

AFRICA

- Toolkit demo to Safaricom Plc (March)
- Demo of Toolkit to HMG Leadership team in Nigeria (June)

MIDDLE EAST

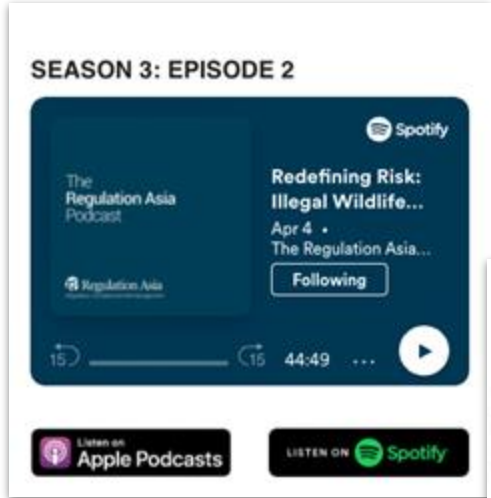
- Toolkit demo to Abu Dhabi Commercial Bank (March)

SOUTH AMERICA

- Toolkit presentation at IWT Conference in Colombia (April)

GLOBAL

- Toolkit presentation at NatWest conference (May)



REDEFINING RISK: ILLEGAL WILDLIFE TRADE AND THE FINANCIAL SECTOR RESPONSE
Published on 4 April 2022

In this episode, Regulation Asia co-founder Brad Maclean and editor Manesh Samtani talk to **Chinail Patel**, International Illicit Finance Policy Lead at the **British Consulate-General** in Hong Kong, and **Henry Williams**, Head of Investigations at **Themis**, about illegal wildlife trade and the development of a new toolkit to help financial institutions combat this activity.

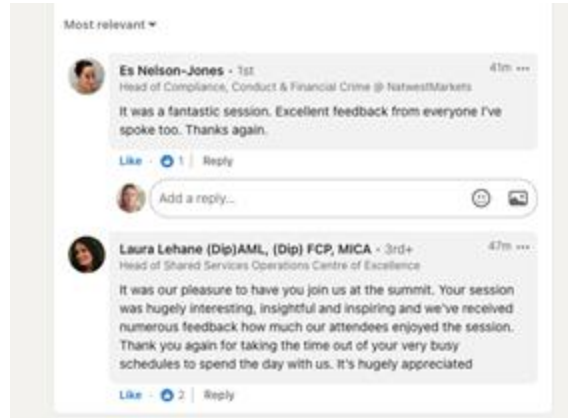
The toolkit was developed by the UK and UAE governments in collaboration with WWF, TRAFFIC and Themis – and is [freely accessible here](#).

Focus on NatWest Group



May 23rd 2022

- Themis presented the IWT Toolkit at the annual NatWest Financial Crime Summit at NatWest HQ in London.
- The session was chaired by Marcus Wogart, Group Head of Financial Crime Risk, and wrapped up by Alison Rose, CEO.
- Attended by c. 6000 members of the NatWest financial crime teams, joining live in London and Edinburgh, and virtually from around the world.
- The Toolkit received hugely positive feedback and all members of NatWest's financial crime team are being encouraged to engage with it as part of their weekly set aside learning time.



Outreach During 2022

- **Toolkit demo** to 80 member banks as part of ADB and FIUs in Asia on 21st June.
- Delivery of localised launches of Toolkit in Hong Kong, Nigeria and Vietnam.
- TRAFFIC delivered training on the Toolkit to private sector stakeholders, Chinese customs and financial institutions across China.
- Themis delivery of regular and ongoing demonstrations to FIs as part of a continued, ongoing outreach to ensure maximum uptake of the IWT Toolkit.



For further information, please contact:

- **Chinali Patel** - Consul – International Illicit Finance Policy Lead, British Consulate-General Hong Kong, chinali.patel@fcdo.gov.uk
- **Tahir Ahmed** - International Illicit Finance Policy Lead, British Embassy Abu Dhabi, tahir.ahmed@fcdo.gov.uk
- **Charlie Richiardi** - International Illicit Finance Policy Lead - British High Commission Singapore, charlie.richiardi@fcdo.gov.uk

How can HMG support the Toolkit?

- By flagging potential upcoming Ministerial or senior official visits wherein we can include a few lines on the Toolkit, including link and promotional video, to amplify.
- By highlighting forums, platforms or meetings wherein we can present/promote the Toolkit.
- By identifying opportunities to amplify the Toolkit via various comms channels including print and online media, podcasts etc.

www.crime.financial/iwt